

Express Mail Label No.: EL831680897US
Date of Deposit: September 7, 2001

Attorney Docket No. 15966-721 (Cura-221)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Li et al.
SERIAL NUMBER: 09/804,014 EXAMINER: Not Yet Assigned
FILING DATE: March 12, 2001 ART UNIT: 1645
FOR: NOVEL POLYPEPTIDES AND NUCLEIC ACIDS ENCODING SAME

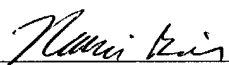
BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

In response to the Notice to File Missing Parts mailed June 18, 2001, transmitted herewith for filing in the present application are the following documents:

1. Response to Notice to File Missing Parts (1 pg.);
2. Copy of Notice to File Missing Parts (2 pgs.);
3. Combined Declaration and Power of Attorney (10 pgs.; 2 counterparts);
4. Check # 10102 for \$65.00;
5. Check # 10103 for \$55.00;
6. Petition for One-Month Extension of Time (1 pg);
7. Computer Readable Form (1 disk);
8. Sequence Listing (67 pgs.);
9. Statement in Support of Computer Readable Form (1 pg.);
10. Substitute specification (131 pgs.);
11. Preliminary Amendment (3 pgs.); and
12. Return Postcard.

Respectfully submitted,


Ivor R. Elrifi (Reg. No. 39,529)
Naomi Biswas (Reg. No. 38,384)
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Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice To File Missing Parts mailed June 18, 2001, Applicants submit herein a copy of the Notice to File Missing Parts, a copy of an executed Combined Declaration and Power of Attorney (in two counterparts), a substitute specification in compliance with 37 C.F.R. §1.52, payment of the surcharge fee (\$65.00) set forth in 37 C.F.R. §1.16(e), a paper copy of the Sequence Listing, an identical CRF (1 disk), a Statement in Support of Computer Readable Form, a Petition for a one-month extension of time and required fee, a Preliminary Amendment and a Return Postcard.

The Commissioner is hereby authorized to charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Attorney Reference No. 15966-721 (Cura-221). Should any questions or issues arise concerning this application, the Examiner is encouraged to contact the undersigned at the telephone number provided below.

Respectfully submitted,

Ivor R. Elrifi (Reg. No. 39,529)

Naomi Biswas (Reg. No. 38,384)

Attorneys for the Applicant

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/804,014	03/12/2001	Li Li	15966-721

#3

CONFIRMATION NO. 8877

FORMALITIES LETTER



OC00000006195727

MINTZ, LEVIN, COHN, FERRIS,
GLOVSKY and POPEO, P.C.
One Financial Center
Boston, MA 02111

Date Mailed: 06/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/13/2001 BABRAHA1 00000069 09804014

FILED UNDER 37 CFR 1.53(b)

01 FC:205

65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing

information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE